

ROGER A. SZAJNGARTEN

EMAIL roger.szajngarten@jjay.cuny.edu

TEACHING & BUSINESS EXPERIENCE

- John Jay College of Criminal Justice, Department of Economics, Adjunct Assistant Professor**
Teaching Introduction to Economics & Global Capitalism and Global Development & Crime Since 2014
- Financial Industry Regulatory Authority (FINRA), Arbitrator, NY**
Independent contractor for Dispute Resolution Arbitration Since 2015
- Self-employed Consultant, NY**
Advising various Private Equities, Management Consultants and Hedge Funds regarding investments in Insurance industry. Since 2012
- Willis Group Holdings, Managing Director, NY & London, UK** 2006 - 2012
A global \$3+ billion risk management consulting and insurance brokerage firm
- **Senior Adviser** to Corporate Development and Willis Capital Markets & Advisory
Skilled in function and tools from an Investment Banking viewpoint and governance as member of Fairness Opinion Committee.
 - **Head of Global Strategic Development/Mergers & Acquisitions**
Executed over 50 acquisitions, divestitures and special projects in numerous countries with transaction / business values cumulatively worth about \$3 billion. Special projects also covered investment, joint venture and industry analysis.
- Self-employed Entrepreneur & Consultant, NY** 2005 - 2006
Aquiline Capital Partners (advising on M&A), Integro and Kinloch (start up and capital raising)
- Marsh & McLennan Companies, Inc, Managing Director, NY** 1980 - 2005
A global \$12+ billion insurance brokerage, consulting, employee benefits and mutual funds firm
- **Treasurer**
 - In charge of investment of \$5 billion worldwide, cash mobilization and foreign exchange.
 - **Head of Corporate Development**
Led and negotiated over 200 acquisitions, divestitures and joint ventures in more than 35 countries. Transactions were cumulatively worth about \$10 billion.
 - **Head of Special Projects**
Examples included changing business model in light of IT advances, London Headquarters build or lease, handling via derivatives exposure associated with pension exposure, major capital expenditure reviews.
- ITT, Corning Glass, Celanese, SCM, General Instruments, Paris, France & NY** 1975 - 1980
- **Controller, Auditor and Financial Analyst**
- 136 East 56th Street Owners, Inc** 1983 - 2000
- **President, Treasurer and Director**
Led successfully for this non-profit corporation, a state and federal litigation resulting in, among others, in the leading decision in the field of the Condominium and Cooperative Abuse Protection and Relief Act.

Business career includes first-hand experience dealing with:

- Numerous international and domestic transactions and structuring thereof
- Mentoring and managing staff
- Various regulatory authorities such as Central Banks, Ministry of Finances
- Extensive compliance with Foreign Corrupt Practice Act and Anti-Bribery Act

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- Implementation of Sarbanes-Oxley and internal control
- Competition laws such as Antitrust issues, Hart-Scott-Rodino filings
- Employment laws
- Compliance with Securities Laws
- Tax planning in a domestic and international context
- US GAAP, IFRS and local statutory accounting standards
- Domestic and international movement of funds
- Capital markets
- Fiduciary regulations
- Civil litigations
- Contract negotiations
- Board directorships

EDUCATION

John Jay College of Criminal Justice, NY , <i>Masters in International Crime & Justice</i> Specialized in White Collar Crimes, Fraud & Regulatory Issues. Master's Thesis on " <i>Payday loans and globalization in the age of the internet –policy recommendations</i> " Published in Journal of Money Laundering Control Vol 18.3 Emerald Insight July 1, 2015 http://www.emeraldinsight.com.ez.lib.jjay.cuny.edu/doi/full/10.1108/JMLC-05-2015-0016	2012 -2014
New York State Attorney General Office internship in the mediation section relating to Consumer Frauds (Winter/Spring 2014).	
Columbia University, NY , <i>Masters of Business Administration</i> Majors in Finance and International Business, minors in Accounting and Economics	1973 -1975
Technion - Israel Institute of Technology, Haifa, Israel , <i>Bachelor of Science</i> Major in Aeronautical Engineering, minor in Rocketry.	1969 -1973
University of Haifa, Israel , <i>Department of Sociology</i> . Admitted in second year.	1970 -1971
Mathematiques Superieures, Paris, France . Admitted in Mathematiques Speciales.	1968 -1969

ARTICLES & BLOG PARTICIPATION

You believe you may be indicted criminally. Would or should you go inquisitorial adversarial? (Spring 2013)
<http://crimcast.wordpress.com/2013/06/08/you-believe-you-may-be-indicted-criminally-would-you-or-should-you-go-inquisitorial-or-adversarial/>

Grand Jury System and Investigating Magistrate in Civil: a research paper on comparative law submitted to Academy of Criminal Justice Sciences and Midwestern Criminal Justice Association (Spring 2013).

Liborgate: presented a research paper on the largest and latest financial scandal to shake the City in London (Spring 2013).

Hamas Suicide Bombing Wave of 1994 to 1997: presented a literature review of the various aspects of suicide bombings as it relates to Hamas (Summer 2013).

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Hezbollah, an overall assessment: an independent study to assess the overall capacity, tactical and strategical actions of this international group and its potential impact for the U.S. (Summer 2013)

Bribery in the global insurance brokerage market: completing a proposal for the funding of a research project into this little known, but critical, industry (Fall 2013).

LANGUAGES & CULTURES

Fluent in French, Spanish and Hebrew

Some conversational/reading ability in Italian

Familiarity with various European, Middle Eastern, and Japanese cultures